



# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 790)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Edward J. Hogan
Examiner Name	
Group Art Unit	
Attorney Docket No.	AP33460-070457.1024

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	02-4377
Deposit Account Name	Baker Botts LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
710	355	Utility filing fee	710		
320	160	Design filing fee			
490	245	Plant filing fee			
710	355	Reissue filing fee			
150	75	Provisional filing fee			

SUBTOTAL (1) (\$ 710)

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
14	4	.20** = 0	x 0 = 0	0
		- 3** = 1	x 80 = 80	80
Multiple Dependent				

## Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
80	40	Independent claims in excess of 3
270	135	Multiple dependent claim, if not paid
80	40	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 80)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

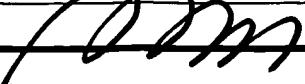
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## Complete (if applicable)

## SUBMITTED BY

Name (Print/Type)	Robert C. Scheinfeld	Registration No. (Attorney/Agent)	31,300	Telephone	(212) 408-2512	
Signature					Date	6/21/01

**Addendum Sheet 1****\*Question 4 Addendum**4.a. Drawings are  formal  informal**\* Question 5 Addendum**5.c.  An unsigned oath or declaration is included.**\* Question 8 Addendum**8.d.  A sequence submission will follow.**\* Question 9 Addendum**9.a.  Assignment documents will follow.9.b.  Assignment documents have been filed in parent application No.**\* Question 11 Addendum**11.a.  English translation will follow.**\* Question 12 Addendum**12.a.  Copies of IDS citations will follow.**\* Question 15 Addendum**15.a.  Certified copies of priority documents will follow.15.b.  Certified copies of priority documents have been filed in parent application No.

Use the space below for additional information

Title (continued):

An Improved Method and System for Conducting Secure Payments Over a Computer Network

## Addendum Sheet 2

18a. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_  
Prior application information: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

18b. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_  
Prior application information: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

18c. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_  
Prior application information: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_



- Amend the specification by inserting before the first line the sentence(s):
  - "This application is a  Continuation  Divisional  Continuation-in-part (CIP) of: \_\_\_\_\_
  - "This application is based upon:
    - prior application No.: \_\_\_\_\_, incorporated by reference herein,
  
- which is a  Continuation  Divisional  Continuation-in-part (CIP) of:
  - which is based upon:
    - prior application No.: \_\_\_\_\_, incorporated by reference herein,
  - which is a  Continuation  Divisional  Continuation-in-part (CIP) of:
    - which is based upon:
      - prior application No.: \_\_\_\_\_, incorporated by reference herein,
  
- which is a  Continuation  Divisional  Continuation-in-part (CIP) of:
  - which is based upon:
    - prior application No.: \_\_\_\_\_, incorporated by reference herein,
  
- which is a  Continuation  Divisional  Continuation-in-part (CIP) of:
  - which is based upon:
    - prior application No.: \_\_\_\_\_, incorporated by reference herein,
  
- which is a  Continuation  Divisional  Continuation-in-part (CIP) of:
  - which is based upon:
    - prior application No.: \_\_\_\_\_, incorporated by reference herein

Priority under 35.U.S.C. §119 is claimed based upon the following applications.

## Foreign Applications:

Country: _____	Serial No: _____	Filing Date: _____
Country: _____	Serial No: _____	Filing Date: _____
Country: _____	Serial No: _____	Filing Date: _____

## Provisional Applications:

Serial No: <u>see attached sheet</u>	Filing Date: _____
Serial No: _____	Filing Date: _____
Serial No: _____	Filing Date: _____

Addendum Sheet 3

Priority under 35 U.S.C. § 119 is claimed based, as stated on pages 1-2 of the specification, upon the following provisional and non-provisional applications:

Serial No. 60/226,227	Filing Date: 8/18/00
Serial No. 60/213,063	Filing Date: 6/21/00
Serial No. 09/833,049	Filing Date: 4/11/01
Serial No. 60/195,963	Filing Date: 4/11/00
Serial No. 09/809,367	Filing Date: 3/15/01

09889433-02262

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30 ROCKEFELLER PLAZA  
NEW YORK, NEW YORK 10112

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TO ALL WHOM IT MAY CONCERN:

Be it known that WE, EDWARD J. HOGAN and CARL M. CAMPBELL, citizens of the United States of America, residing in Larchmont, County of Westchester, State of New York and Newtown Square, County of Delaware, State of Pennsylvania, respectively, whose post office addresses are 14 N. Chatworth Avenue, Larchmont, New York 10538; and 809 Malin Road, Newtown Square, Pennsylvania 19073, respectively, have invented an improvement in

AN IMPROVED METHOD AND SYSTEM FOR CONDUCTING  
SECURE PAYMENTS OVER A COMPUTER NETWORK

of which the following is a

SPECIFICATION

PRIORITY APPLICATIONS

[0001] This application claims priority to United States provisional application 60/226,227, filed on August 18, 2000, and entitled "Method and System for Conducting Secure MasterCard Payments Over a Computer Network", which is hereby incorporated by reference, and to provisional application 60/213,063, filed on June 21, 2000, entitled "An Improved Method and System for Conducting Secure Payments Over a Computer Network", which is also hereby incorporated by reference. This application further claims priority to United States application serial number 09/833,049, filed on April 11, 2001, entitled "An Improved Method and System for Conducting Secure Payments Over